

# **Broker Compliance Evaluation Manual**

*This Broker Compliance Evaluation Manual was prepared primarily to assist the real estate broker conducting residential sales in ascertaining compliance with Department of Real Estate requirements. It contains many of the questions that a broker would be asked if visited by a Department of Real Estate representative.*

*This manual was not designed to encompass all of a broker's obligations and responsibilities under the Real Estate Law but rather as one of the tools a broker may use when reviewing records and office procedures. We hope that it will assist brokers.*

*This Broker Compliance Evaluation Manual may be used in conjunction with the RE 540 Broker Self-Evaluation Compliance Checklist.*